

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): **Paul Snyder**

Docket No.

END920030094US1

Application No.

10/671,890

Filing Date

09/29/2003

Examiner

N/A

Customer No.

26502

Group Art Unit

2171

Invention: **DYNAMIC TRANSACTION CONTROL WITHIN A HOST TRANSACTION PROCESSING SYSTEM**



I hereby certify that this **Supplemental IDS, Cert. of Mailing, Postcard**

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is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

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END920030094US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Paul Snyder

Group Art Unit: 2171	:	IBM Corporation
Examiner: N/A	:	Intellectual Property Law
Serial No.: 10/671,890	:	Dept. IQ0A, Bldg. 040-3
Filed: 09/29/2003	:	1701 North Street
Title: DYNAMIC TRANSACTION CONTROL WITHIN A HOST TRANSACTION PROCESSING SYSTEM	:	Endicott, NY 13760

DATE: July 7, 2006

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

For ensuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the non-US documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

[] U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

- ☐ A concise explanation of the relevance of the non-English language references is attached:
- ☐ The relevance of the references is indicated on the enclosed copy of the _____ Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, the fee box below and one of the last two boxes must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

- ☐ The Director is hereby authorized to charge the \$180.00 fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 09-0457.

CERTIFICATION

- [X] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [] The undersigned certifies that no item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0457. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

Dated: 06 JULY 1996

By: William E. Schiesser

William E. Schiesser

Reg. No. 53,559

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<div>OFFICE OF INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) JUL 10 2006 PATENT & TRADEMARK OFFICE</div>		Docket Number (Optional) END920030094US1		Application Number 10/671,890				
		Applicant(s) Paul Snyder						
		Filing Date 09/29/2003		Group Art Unit 2171				
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 03/071424 A2	28.08.2003	World	G06	46	✓	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		Pazel, D. P. et al, "Neptune: A Dynamic Resource Allocation and Planning System for a Cluster Computing Utility", Cluster Computing and the Grid 2nd IEEE/ACM International Symposium, CCGRID2002 Berlin, Germany, 21 - 24 May 2002, Piscataway, NJ, USA, IEEE Comput. Soc., US, 21 May 2002, pages 57 - 64.						
		Riedl, R. et al, "Classification of Load Distribution Algorithms", Parallel and Distributed Processing, 1996, Proceedings of the Fourth Euromicro Workshop on Braga, Portugal, 24 - 26 January 1996, Los Alamitos, CA, USA, IEEE Comput. Soc, US, 24 January 1996, pages 404 - 413						
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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		Applicant(s) Paul Snyder	
		Filing Date 09/29/2003	Group Art Unit 2171
*EXAMINER INITIAL	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
	Mittal, V. et al: "An Expert System Based Load Monitoring and Scheduling System for Load Balancing in Distributed Systems", Intelligent Control, 1988, Proceedings., IEEE International Symposium on Arlington, VA, USA. 24 - 26 August, 1988, Washington, D.C., USA, IEEE Comput. Soc. PR, US, pages 215-220.		
	Gray, Jim, "Transaction Processing: Concepts and Techniques", 1993, Morgan Kaufmann Publishers, Inc., pages 294, 347 - 349.		
EXAMINER	DATE CONSIDERED		
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